



Ethics Office Monthly Report

FEBRUARY 2024

EDUCATION

Assistant Ethics Officer Peter Von Haam provided in-person ethics training to employees at the Pure Water Southern California Demonstration Plant in Carson, CA.

Staff also presented an Ethics Office overview for new hires.

COMPLIANCE

Assisted directors and employees with their Annual, Assuming Office, and Leaving Office Form 700 filings. Assistance included filing for multiple positions, troubleshooting the electronic filing system, and notifications of deadlines.

Monitored the status of past due Assuming Office and Leaving Office Form 700 filings. Sent notices to two current employees and nine former employees; obtained compliance from two current employees and five former employees. Referred one former employee to the FPPC for non-filing of the Leaving Office Form 700.

ADVICE

Addressed 37 advice matters related to the following: conflicts of interest, financial disclosure, gifts, post-employment lobbying, and other ethics-related topics.

INVESTIGATIONS

Received five complaints involving the following allegations:

- A manager misused their authority for personal gain
- A Metropolitan official used inappropriate language based on a protected class in the workplace
- An employee released confidential information without authorization and misused their position for personal gain
- A manager discriminated against an employee based on a protected class
- An employee sexually harassed a coworker

Referred three complaints to the EEO Office.

ETHICS OFFICER FINDINGS

The Ethics Officer determined that a manager and an employee improperly disclosed confidential information without authorization. The findings were forwarded to Human Resources for consideration of appropriate action.

ADVICE AND INVESTIGATIVE DATA

Advice Matters	37
Compliance Assistance	62
Complaints Received	5
Investigations Opened	1
Pending Investigations	5

COMPLAINTS MAY BE FILED AT:

ANONYMOUS ETHICS HOTLINE (Convercent)
(800) 461-9330
<http://www.mwdethicshotline.net/>

ETHICS OFFICE
(213) 217-5832
ethicsoffice@mdwh2o.com