



Ethics Office Monthly Report

MARCH 2023

EDUCATION

Provided two ethics orientation sessions to new employees.

COMPLIANCE

Assisted Board members and employees with their Annual, Assuming Office, and Leaving Office Form 700 filings. Assistance included filing for multiple positions, troubleshooting the electronic filing system, and notifications of deadlines.

Monitored the status of past due Assuming Office and Leaving Office Form 700 filings. Sent notices to six former employees; obtained compliance from two current employees and one former employee.

ADVICE

Addressed 20 advice matters involving: conflicts of interest, outside employment, financial disclosure, gifts, and other ethics-related topics.

INVESTIGATIONS

Responded to 17 complaints involving the following allegations:

- Retaliation
- Outside employment activity
- Race and age discrimination and/or harassment
- Bullying and/or harassment
- Poor customer service
- Unauthorized release of confidential information
- Discrimination based on religion

Referred EEO-related complaints to the EEO Office.

ADVICE AND INVESTIGATIVE DATA

Advice Matters	20
Compliance Assistance	90
Complaints Received	17
Investigations Opened	9
Pending Investigations	13