

Audit & Ethics Committee



Discussion of independent oversight programs in public agencies; potential applications at Metropolitan

Item 6c

June 28, 2022

Public
agencies
with
oversight
programs

- Los Angeles Department of Water and Power
- Los Angeles County Metropolitan Transportation Authority
- Los Angeles Unified School District
- Metropolitan Water Reclamation District of Greater Chicago
- Federal Inspectors General

Public
agencies
with
oversight
programs

Los Angeles Department of Water and Power

Office of Inspector General

- Ethics Office
- Audit
- Corporate Performance
- Corporate Protection & Risk Analysis
- Office of Special Investigations

Public
agencies
with
oversight
programs

Los Angeles County Metropolitan Transportation Authority

Office of Inspector General

- Investigations
- Audit

Ethics Office

- Advice
- Compliance

Public
agencies
with
oversight
programs

Los Angeles Unified School District

Office of Inspector General

- Investigations
- Audit

Public
agencies
with
oversight
programs

Metropolitan Water Reclamation District of Greater Chicago

Office of the Independent Inspector
General

- Investigations

Public
agencies
with
oversight
programs

Federal Inspectors General

- Investigations
- Audit
- Evaluations

Opportunity

Ethics Office could review unaddressed complaints and concerns it receives of “unethical” and other conduct.

Requirements to initiate ethics investigations

- ✓ Ethics rule explicitly prohibiting the conduct
- ✓ Specific alleged incident
- ✓ Individual subject to the rule

Complaints and concerns

Examples

- Integrity/breach of fiduciary duties
- Arbitrary personnel practices
- Weak policies
- Mismanagement
- Contracting processes

Concept

The Ethics Office perform reviews of broader issues impacting Metropolitan:

- ✓ Practices and processes
- ✓ Systemic concerns

Benefits of reviews

- ✓ Organizational accountability
- ✓ Objective assessments
- ✓ Timely reports
- ✓ Inform new policies and standards
- ✓ Transparency

