

Ethics Office Monthly Report

May 2022

COMPLIANCE

Continued management of the Form 700 annual filing season, which began January 1, 2022 and ended April 1, 2022. As of the date of this report, filings from one director and six employees are pending. Staff continues efforts to obtain full compliance for Metropolitan.

Assisted Board members and employees with Assuming Office and Leaving Office Form 700 filings. Assistance included troubleshooting the electronic filing system and notifications of deadlines.

Monitored the status of past due Assuming Office and Leaving Office Form 700 filings; obtained compliance from three current employees and one former employee.

ADVICE

Addressed 11 advice matters involving: conflicts of interest, financial disclosure, recusal, gift and outside employment policies, and other ethics-related topics.

INVESTIGATIONS

Received complaints alleging in part that:
1) Metropolitan officials misused their authority, misused public resources for a political purpose, and engaged in retaliation; 2) A senior manager retaliated against an employee based on protected EEO activity; 3) Management improperly denied a transfer request by an employee; 4) A senior manager misused their position to promote a friend; 5) An employee misused their position to obtain free

merchandise from a vendor; 6) Unfair recruitment processes due to favoritism; 7) Employees misused their positions to influence the hiring of relatives; and 8) Employees misused their positions to influence the hiring of relatives.

ADVICE AND INVESTIGATIVE DATA

Advice Matters	11
Compliance Assistance	115
Complaints Received	8
Investigations Opened	0
Pending Investigations	4