



Ethics Office Monthly Report

April 2022

COMPLIANCE

Continued management of the Form 700 annual filing season, which began January 1, 2022 and ended April 1, 2022. As of April 30, filings from four directors and 18 employees are pending. Staff continues efforts to obtain full compliance for Metropolitan.

Assisted directors and employees with Assuming Office and Leaving Office Form 700 filings. Assistance included troubleshooting the electronic filing system and notifications of deadlines.

Monitored the status of past due Assuming Office and Leaving Office Form 700 filings; obtained compliance from three current employees and sent a filing notice to one former employee.

ADVICE

Addressed 15 advice matters involving: conflicts of interest, financial disclosure, post-employment, and negotiating future employment policies, and other ethics-related topics.

INVESTIGATIONS

Received complaints alleging that: 1) A Metropolitan official retaliated against other Metropolitan officials based on a protected class; 2) One or more employees created a hostile work environment for another employee; 3) Management misappropriated public funds; 4) One or more employees discriminated against another employee; 5) One or more

employees sexually harassed another employee; and 6) One or more employees bullied and sexually harassed another employee.

Referred Equal Employment Opportunity related complaints to the EEO Officer.

ADVICE AND INVESTIGATIVE DATA

Advice Matters	15
Compliance Assistance	121
Complaints Received	6
Investigations Opened	0
Pending Investigations	4