



Ethics Office Monthly Report

January 2022

EDUCATION

Held training session on preventing conflicts of interest presented by the Fair Political Practices Commission at the January 2022 Board meeting.

Provided an ethics training session to the Legislative Services Section, including an overview of ethics policies and Q&A with staff.

Presented overview of two ethics rules at the monthly Group Managers meeting: 1) restrictions on communications between directors and staff on contracting, real property, and employment decisions and 2) duty to report ethics violations.

COMPLIANCE

Assisted Board members and employees with Assuming Office and Leaving Office Form 700 filings. Assistance included troubleshooting the electronic filing system and notifications of deadlines.

Monitored the status of past due Assuming Office and Leaving Office Form 700 filings; obtained compliance from four current and three former employees and sent filing notices to three former employees.

Issued notices to directors and designated employees regarding the 2021 Annual Form 700 deadline of April 1, 2022 and filing instructions.

ADVICE

Addressed five advice matters involving:

gifts, conflicts of interest, financial disclosure, and other ethics-related topics.

INVESTIGATIONS

Received a complaint alleging that Metropolitan officials violated Metropolitan's policy on confidential information.

Initiated two separate investigations into allegations that: 1) A manager misused their authority to influence a recruitment process for personal gain; and 2) A manager misused their authority to coerce subordinates into taking actions that resulted in the manager's personal gain.

ADVICE AND INVESTIGATIVE DATA

Advice Matters	5
Compliance Assistance	64
Complaints Received	1
Investigations Opened	2
Pending Investigations	4

EEO INVESTIGATIONS

Ethics Officer briefed the Organization, Personnel and Technology Committee on the EEO investigations conducted by Shaw Law Group at the January 2022 Committee meeting.