



Committee

- M. Ramos, Chair
- T. McCoy, Vice Chair
- L. Ackerman
- S. Blois
- J. Butkiewicz
- P. Hawkins
- M. Hogan
- F. Jung
- C. Kurtz
- R. Record
- T. Smith

Audit and Ethics Committee

Meeting with Board of Directors *

July 27, 2021

12:30 p.m.

**Tuesday, July 27, 2021
Meeting Schedule**

- 08:30 a.m. - IRP
- 10:30 a.m. - Bay-Delta
- 11:30 a.m. - Break
- 12:00 p.m. - Exec
- 12:30 p.m. - A&E
- 01:30 p.m. - Sp. OP&T

Live streaming is available for all board and committee meetings on our mwdh2o.com website ([Click to Access Board Meetings Page](#))

Public Comment Via Teleconference Only: Members of the public may present their comments to the Board on matters within their jurisdiction as listed on the agenda via teleconference only. To participate call (404) 400-0335 and use Code: 9601962.

* The Metropolitan Water District’s meeting of this Committee is noticed as a joint committee meeting with the Board of Directors for the purpose of compliance with the Brown Act. Members of the Board who are not assigned to this Committee may participate as members of the Board, whether or not a quorum of the Board is present. In order to preserve the function of the committee as advisory to the Board, members of the Board who are not assigned to this Committee will not vote on matters before this Committee.

1. Opportunity for members of the public to address the committee on matters within the committee's jurisdiction (As required by Gov. Code Section 54954.3(a))

**** CONSENT CALENDAR OTHER ITEMS -- ACTION ****

2. CONSENT CALENDAR OTHER ITEMS - ACTION

- a. Approval of the Minutes of the meeting of the Audit and Ethics Committee held June 22, 2021 [21-316](#)

Attachments: [07272021 AE 2A draft minutes](#)

3. CONSENT CALENDAR ITEMS - ACTION

None

**** END OF CONSENT CALENDAR ITEMS ****

4. OTHER BOARD ITEMS - ACTION

None

5. BOARD INFORMATION ITEMS

None

6. COMMITTEE ITEMS

- a. TER Report and Management's Response [21-312](#)

Attachments: [07272021 AE 6a Presentation.pdf](#)
[07272021 AE 6a Management Response.pdf](#)

- b. General Auditor Business Plan for Fiscal Year 2021/22 [21-311](#)

Attachments: [07272021 AE 6b1 Presentation.pdf](#)
[07272021 AE 6b2 Presentation.pdf](#)

- c. Ethics Officer's Business Plan for Fiscal Year 2021/22 [21-314](#)

Attachments: [07272021 AE 6c Presentation.pdf](#)

7. MANAGEMENT REPORTS

- a. Ethics Officer's Report [21-340](#)

- b. General Auditor's Report [21-341](#)

8. FOLLOW-UP ITEMS

None

9. FUTURE AGENDA ITEMS

10. ADJOURNMENT

NOTE: This committee reviews items and makes a recommendation for final action to the full Board of Directors. Final action will be taken by the Board of Directors. Agendas for the meeting of the Board of Directors may be obtained from the Board Executive Secretary. This committee will not take any final action that is binding on the Board, even when a quorum of the Board is present.

Writings relating to open session agenda items distributed to Directors less than 72 hours prior to a regular meeting are available for public inspection at Metropolitan's Headquarters Building and on Metropolitan's Web site <http://www.mwdh2o.com>.

Requests for a disability related modification or accommodation, including auxiliary aids or services, in order to attend or participate in a meeting should be made to the Board Executive Secretary in advance of the meeting to ensure availability of the requested service or accommodation.