



OFFICE OF ETHICS

Community and Workplace Culture Committee Item 6b – Ethics Quarterly Statistical Report

Education Program

Web-based, On-Demand Ethics Trainings:

- New Employee Ethics Introduction – 30 Employees
- Safety-Related Retaliation – 41 Employees

Live Ethics Trainings:

- *Government Ethics 101* – 422 Employees (Carson, Diemer, Gene, Soto, and Weymouth facilities)
- Ethics Overviews at New Employee Orientations – 33 New Employees (6 sessions)

CWC Committee Ethics Education (one session)

Quarterly Ethics Newsletter

Staff Development (conferences)

Advice Program

107 Total Advice Matters

Predominant Topic Areas: Conflicts of Interest, Financial Disclosure, Gifts

Compliance Program

Form 700s

- 19 Form 700s filed
- 74 Filer assistance matters

AB 1234

- 22 Training certificates filed

Investigation Program

14 Complaints Received

- 6 Ethics Complaints
- 8 Non-Ethics Complaints

6 Ethics Complaints – Allegation Types:

- Misuse of Authority
- Conflict of Interest
- Prohibited Director Communication

6 Ethics Complaints – Status:

- 3 closed after preliminary review
- 2 open in preliminary review phase
- 1 open in investigation phase