



THE METROPOLITAN WATER DISTRICT
OF SOUTHERN CALIFORNIA

OFFICE OF ETHICS

Community and Workplace Culture Committee Item 6b – Ethics Quarterly Statistical Report

Education Program

Web-based, On-Demand Ethics Trainings:

- New Employee Ethics Introduction – 54 Employees
- Safety-Related Retaliation – 70 Employees

Live Ethics Trainings:

- *Government Ethics 101* – 121 Employees (Skinner, Jensen, Mills facilities)
- Ethics Overviews at New Employee Orientations – 62 New Employees (7 sessions)

CWC Committee Ethics Education (3 sessions)

Quarterly Ethics Newsletter

Advice Program

78 Total Advice Matters

Predominant Topic Areas: Conflicts of Interest, Financial Disclosure, Gifts

Compliance Program

Form 700s

- 50 Form 700s filed
- 131 Filer assistance matters

AB 1234

- 106 Training certificates filed

Investigation Program

18 Complaints Received

- Allegation Types:
 - Misuse of Authority
 - Confidential Information

- Retaliation
 - Conflict of Interest
 - Prohibited Director Communication
 - Outside Employment
- Status:
 - 10 closed after preliminary review
 - 4 open – preliminary review phase
 - 4 open – investigation phase