



Community and Workplace Culture Committee

# Ethics Quarterly Report

Item 6b

January 13, 2026

Presented by: Interim Senior Deputy Ethics Officer Dominic Berbeo

## Item 6b

### Subject

Ethics Office Quarterly Report

### Purpose

Informational update

Oct – Dec 2025

## Education Program

### Web-based, On-Demand Ethics Trainings:

- New Employee Ethics Introduction – **30** Employees
- Safety-Related Retaliation – **41** Employees

### Live Ethics Trainings:

- Government Ethics 101 – **422** Employees (Carson, Diemer, Gene, Soto, and Weymouth facilities)
- Ethics Overviews at New Employee Orientations – **33** New Employees (6 sessions)

CWC Committee Ethics Education (one session)

Quarterly Ethics Newsletter

Oct – Dec 2025

## 107 Total Advice Matters



Some advice requests include more than one category.

# Compliance Program

Oct – Dec 2025

- **Form 700s**
  - 19 Form 700s filed
  - 74 Filer assistance matters
- **AB 1234**
  - 22 Training certificates filed

Oct – Dec 2025

## 14 Total Complaints Received



Oct – Dec 2025

## Types of 6 Ethics Complaints

**Misuse of Authority**

4

**Conflict of Interest**

2

**Prohibited Director  
Communication**

1

\*Some complaints include multiple allegations in more than one category.

Oct – Dec 2025

## Status of 6 Ethics Complaints





